



160  
3473

Attorney Docket No.: 10065.200-000

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Outrup et al. Confirmation No: 3473

Serial No.: 09/931,701 Group Art Unit: 1633

Filed: August 16, 2001 Examiner: To be assigned

JUL 08 2004

For: Subtilase Enzymes

TECH CENTER 1600/2900

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

I hereby certify that the attached correspondence comprising:

1. Information Disclosure Statement
2. Form PTO/SB/08A
3. Copy of references

is being deposited with the United States Postal Service as first class mail in an envelope addressed to the address indicated above on June 30, 2004.

Else-Marie Ulderup  
(name of person mailing paper)

Else-Marie Ulderup  
(signature of person mailing paper)

Attorney Docket No.: 10065.200-US



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Outrup et al.

Confirmation No: 3473

Serial No.: 09/931,701

Group Art Unit: 1633

Filed: August 16, 2001

Examiner: To be assigned

For: Subtilase Enzymes

**INFORMATION DISCLOSURE STATEMENT (IDS) UNDER 37 CFR 1.97(b)**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. 1.56, 1.97 and 1.98, Applicants re-submit herewith references which may be material to the patentability of this application and with respect to which there may be a duty to disclose. While the references may be material under 37 C.F.R. 1.56, it is not intended to constitute an admission that the references are prior art. The filing of this IDS shall not be construed as a representation that no other material references than those listed exist or that a search has been conducted.

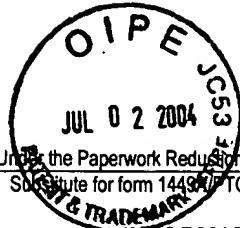
The references are listed in Form PTO/SB/08A, which is in accordance with the requirements of M.P.E.P. 609. A copy of the references is enclosed. It is respectfully requested that these references be considered by the USPTO in its examination of the above-identified application and be made of record therein.

This IDS is being filed **within** three months of the filing date of a national application or date of entry into the national stage of an international application, or **before** the mailing date of a first Office action on the merits, or **before** the mailing date of a first Office action after the filing of a request for continued examination. Therefore, no fee is due.

Respectfully submitted,

  
\_\_\_\_\_  
Elias J. Lambiris, Reg. No. 33,728  
Novozymes North America, Inc.  
500 Fifth Avenue, Suite 1600  
New York, NY 10110  
(212) 840-0097

Date: June 30, 2004



PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

Approved for use through 10/31/2022. GMD 2021-003.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

~~Substitute for form 1449-A TO~~

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
*(use as many sheets as necessary)*

Sheer

1 of 2

<p>Substitute for form 1449A (PTO-1)</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i></p>				<b>Complete if Known</b>	
				<b>Application Number</b>	09/931,701
				<b>Filing Date</b>	August 16, 2001
				<b>First Named Inventor</b>	Outtrup et al. <i>JUL 01</i>
				<b>Art Unit</b>	1633
				<b>Examiner Name</b>	To be assigned
<b>Sheet</b>	1	<b>of</b>	2	<b>Attorney Docket Number</b>	10065.200-US <i>TECH CENTER</i>

3 | 2004

TECH CENTER 1600/2800

## **U.S PATENT DOCUMENTS**

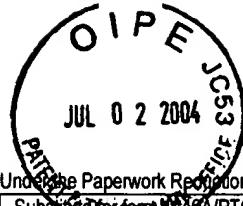
## **FOREIGN PATENT DOCUMENTS**

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner of Patents, Washington, DC 20231.



PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

Approved for use through 10/31/2002. GPO 0-801-0381  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitution of Inventor(s) or Assignee(s)				Complete if Known	
				Application Number	09/931,701
				Filing Date	August 16, 2001
				First Named Inventor	Outtrup et al.
				Art Unit	1633 <i>JUL 08</i>
				Examiner Name	To be assigned
Sheet	2	of	2	Attorney Docket Number	10065-200-US

TECH CENTER 1600/2000

## **OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner of Patents, Washington, DC 20231.